



Compensation of thermal optical effects

Technical field

5 The invention relates to a method for compensating
optical thermal effects in accordance with the preamble
of Patent Claim 1, an optical unit for this purpose in
accordance with the preamble of Patent Claim 4, and an
optical arrangement in accordance with the preamble of
10 Patent Claim 10.

Prior art

09886385.081501
15 If optical elements are heated, their optical
properties (refractive index, external contour) are
generally changed, and this entails variations in the
phase front of a beam guided in such elements (thermal
lens, thermal birefringence). Thermal optical effects
are chiefly extremely disruptive in the case of optical
20 high-performance oscillators, since they worsen the
beam quality of the high-performance laser beam to be
generated (beam deformation, stress birefringence, beam
deflections etc.). The thermal lensing effect and the
optical aberrations are caused by anisotropic
25 temperature distribution in the optical medium.

An example for the elimination of thermal optical
effects, in particular, continuously operating high-
performance CO₂ laser resonators is given in the
30 publication by A.V. Kudryashov entitled "Intracavity
Laser Beam Control", SPIE Conf. on Laser Resonator II,
San Jose, Calif., pages 32-40; 1999. The compensation
is undertaken here actively by means of what is called
adaptive optics. The adaptive optics is a mirror, whose
35 surface contour can be adjusted, in the folded beam
path inside the resonator. The adjustment is undertaken
with the aid of electrically drivable piezoelectric
ceramics. To control the drive, a small portion of the
output radiation is coupled out, guided through a

5 surface deformations of the other optical components.

S. Fochs, R. Hurd, M. Kartz, R. Sawvel; "Development of

15 The deformation of the laser resonator mirror for

20 conference "Workshop on Adaptive Optics for Industry

Instead of varying the surface curvatures of optical

25 components, in particular of mirrors, under active

element. Such arrangements are described in St. Jackel,

30 I. Moshe, R. Lavi; "High Performance Oscillators

35 It is likewise possible to have a combination of a

plurality of elements in a laser resonator which can be controlled as to their optical action. Thus, in I. Moshe, S. Jackel; "Enhanced Correction of Thermo-Optical Aberrations in Laser Oscillators", SPIE Conf.

on Laser Resonator II, San Jose, Calif., page 181-186;
12-16 July 1999 use is made of a lens which can be
adjusted under control as to its distance from the
laser resonator mirror and which has one Faraday
5 rotator each upstream and downstream of the active
medium.

DE-A 197 14 175 discloses a compensation of the thermal
lens in the laser medium which is effective over the
10 entire range of pumping power. The compensation of the
thermal lens was achieved by virtue of the fact that a
portion of the pumping light itself was used in the
case of a longitudinally pumped active medium with its
varying power in order to create an appropriate optical
15 element which compensates the thermal lens in the
active medium. This element was either a modified
launch mirror or an additionally inserted element
acting as a lens with a negative focal length (a
positive one in the case of a negative thermal lens in
20 the laser medium). It would also be possible to use a
gaseous or liquid medium, located in a suitable
cuvette, as the element. In addition to the pumping
optical radiation, it would also be possible to send a
portion of the generated laser power through an
25 appropriate element of the resonator for compensation
purposes.

The arrangement described in US-A 3 434 779 served the
purpose of keeping a laser power constant, this being
30 achieved by using thermal optical effects.

Constancy is kept by using a nitrobenzene cell which
widens a laser beam irradiated into it, doing so as a
function of the radiant power. This widened beam then
35 strikes a diaphragm which passes only the central beam
region.

The compensation of thermal lensing effects in the
laser resonator is described in the publication by

000003385-001501

09886385-081501

R. Koch entitled "Self-adaptive optical elements for compensation of thermal lensing effects in diode end-pumped solid state lasers - proposal and preliminary experiments"; Optics Communications 140 (15 July 1997),
5 pages 158-164. The compensation was performed by means of a resonator mirror whose mirror substrate absorbed the pumping radiation and had a large positive coefficient of thermal expansion. Specified as a further compensation option was an antireflection-coated plate which had a large negative thermal
10 coefficient of the refractive index.

Further examples are described in US-A 5 386 427, US-A 5 751 750, US-A 3 662 281, US-A 3 609 584,
15 US-A 3 577 098 and US-A 4 848 881.

Object of the invention

20 The object of the invention is to achieve, by contrast with the prior art, a substantially more effective compensation of the above-described optical changes caused by radial temperature gradients in optical components.

25 Achievement of the object

The above-named object is achieved by virtue of the fact that by contrast with the prior art, the various functions of absorption (heating by means of radiation
30 absorption), radial thermal conduction (for generating a power-dependent temperature distribution) and thermal dispersion (for generating a thermal lens) are distributed over various, that is to say a plurality of elements with different material properties. By
35 contrast with the prior art, there is now no need for one and the same element to fulfill all functions.

By contrast with the prior art, the aim here is direct heat transfer by means of intimate contact between an

optical component and the compensation medium. The aim is preferably to achieve an approximately identical radial thermal distribution in the optical component and in the compensation medium.

5

Use may be made as compensation medium of materials which cannot transmit mechanical shear forces, or can transmit only negatively small ones. Such materials are liquids, gels and gases. However, it is also possible
10 to use elastic media (solid bodies). However, in the case of solid bodies it is to be ensured that surfaces bearing against one another do so closely in optical terms, preferably being optically contacted. Optical surface effects should not arise in this case.

15

This type of compensation can be applied in the case of many optical components; however, they will preferably be used with lasers, in particular high-performance lasers, in which a good beam quality is desired.

20

Introduced into the beam path of an optical arrangement, which can be a laser oscillator or laser amplifier, for example, is an optical unit for compensating thermal optical effects in the beam path
25 by means of optical components present therein. The optical unit located in the beam path has optical elements which have at least two different material properties and cooperate actively for the compensation, and on which, for the purposes of compensation, heating
30 by means of radiation absorption, thermal conduction for generating a power-dependent temperature distribution, and thermal dispersion for generating a thermal lens can be distributed, preferably with a different effect.

35

One of the elements has an optical compensation space which is filled, in particular completely filled, with an optically transparent compensation medium. Optically transparent solid bodies are arranged as a further

09000335.081501

element with radiation absorption on both sides of the compensation space. The compensation medium makes such close thermal contact with the solid bodies arranged on both sides that good heat transfer is ensured from the solid bodies to the compensation medium. The optical compensation space can now only be the compensation medium when a solid body or a solid gel is involved; however, it can also be a space which is to be filled with the compensation medium if a liquid or a flowable gel is involved.

The compensation space is preferably designed in such a way that it extends perpendicular to the optical axis of the beam path. If the optical unit is used in a laser resonator, the components of the oscillator or amplifier are generally of circular cylindrical design, and cooling takes place at the periphery. Consequently, the compensation space is also designed with radial symmetry relative to the axis of the beam path, and the radial extent of the compensation space relative to the optical axis of the beam path is adapted to that of the neighboring solid bodies. It is preferred to select the radial dimensions of the compensation space and/or of the compensation medium to be identical to those of the adjacent neighboring solid body.

The solid body immediately neighboring the compensation medium will preferably be kept in a cooling holder which preferably completely encompasses the entire envelope of the solid body in intimate thermal contact. This produces a space-saving design with good efficiency.

If a material which transmits no mechanical shear forces is used as compensation medium, it is preferably possible to provide an expansion space which is connected to the compensation space and into which the compensation medium can undertake volumetric equalization in the event of thermal loading. Such an

09036385-081501

figure 2 shows a variant of the illustration in figure 1, an active laser medium being split here into component elements, and the optical compensation being undertaken immediately between these elements,

figure 3 shows a variant of the exemplary embodiments illustrated in figures 1 and 2, and

figure 4 shows a variant of the optical arrangement illustrated in figure 3, there being a plurality of optical compensation elements, however.

15 Ways of implementing the invention

The laser resonator 1, illustrated by way of example in figure 1, is designed as a symmetrical resonator with two parallel mirrors 3a and 3b. Arranged in the laser resonator 1 are a laser rod 5 as active medium, two lenses 7a and 7b and the optical unit 9 according to the invention. As indicated diagrammatically by the arrows 11a and 11b, the laser rod 5 is pumped optically in a transverse fashion. This pumping heats the laser rod 5 in its interior. It is cooled on its exterior. The result is the formation of a temperature gradient in the laser rod 5; what is termed a thermal lens has been formed. The refractive power of this thermal lens changes with the irradiated pumping energy and the generated laser radiation, which leads in turn to variations in the mode of oscillation of the laser resonator, and then further influences the generated radiant power and modes thereof. Such a behavior is extremely undesirable.

35

In the laser resonator 1 sketched in figure 1, the two lenses 7a and 7b are used for the purpose of optimum superimposition of the thermal lens in the laser rod 5 with that in the unit 9.

09886385-081501

09886385-081501

The unit 9 used for thermal compensation comprises here two laterally cooled, optically transparent cylindrical rods 13a and 13b and a narrow compensation space 15 with a compensation medium 16. The compensation space 15 extends at right angles to the optical axis 14 of the beam path produced by the laser rod 5. A liquid or a gel can be used as compensation medium. Use may be made as compensation materials of water, deuterated water or optical liquids and gels such as, for example, preferably for the visible spectral region OCF-446, OCF-452, OCF-463, OC-431 A-LVP, OC-440, OC-459, OC-462, OCK-433, OCK-451. These products are produced, for example, by the company Nye Optical Products. A further compensation material is a silicone "SYLGARD 182" from Dow Corning. Use will preferably be made of fully deuterated, chlorinated and fluorinated gels or liquids for the spectral region of longer wavelength adjoining the visible region. Of course, other liquids and gels can be used. It is also possible to use gases with suitable optical and thermal properties (refractive index, thermal dispersion). The advantages of liquids and gels are a very pronounced negative thermal dispersion (dn/dT) and the absence of thermally induced birefringence, since pressure gradients caused by thermal expansion cannot arise. These compensation media do not transmit any mechanical shear forces. An expansion space 19 is provided for volumetric equalization when the compensation medium is thermally loaded.

The rods 13a and 13b as well as the compensation medium 16 are cooled externally by a holder 17. Glass or, even better, a birefringent crystal comes into consideration as rod material for avoiding thermally induced depolarization. A birefringent crystal as rod 13a or 13b is particularly advantageous whenever the laser rod 5 is likewise birefringent. The crystal optic axes are to be aligned with one another.

35 Instead of heating only the rods 13a and 13b, the heating can be performed by a low absorption of radiation in the compensation medium 16. Irrespective of whether the heating is predominantly produced by absorption in the compensation medium 16 or in the rods 13a and 13b, the desired power-dependent lens is set up owing to the thermal contact of the compensation medium

16 with the neighboring rods 13a and 13b, and to the radial cooling of the rods 13a and 13b. By contrast with the prior art, the different functions of absorption (heating), radial thermal conduction (for
5 generating a power-dependent temperature distribution) and thermal dispersion (for generating the thermal lens) are distributed here over various elements with different material properties, and need not all be fulfilled by one and the same element.

10

The strength of the lens produced and, if appropriate, the absorption can be optimized by mixing various materials (for example water and heavy water), and by selecting a suitable thickness d of the compensation
15 space 15. By cooling the periphery of the rods 13a and 13b with the aid of a holder 17 which encompasses them and which likewise cools the compensation medium 16 externally, a power-dependent, radially decreasing temperature distribution is produced, which leads to a
20 power-dependent lens because of the thermal dispersion of the compensation medium 16. The temperature distribution in the compensation medium 16 is approximately equal to that in the neighboring rods 13a and 13b because of the small dimension of the thickness
25 of the compensation space 15.

The compensation medium 16 and the rods 13a and 13b preferably have the same refractive index. As a result of this, no Fresnel reflections occur at the interfaces
30 between the rod end face and compensation medium 16, and a deformation based on the thermal expansion of the rods 13a and 13b does not lead to a lensing effect. The Fresnel reflections could certainly be suppressed by an antireflection coating, but this would entail making
35 the unit 9 more expensive. The liquids and gels listed above are particularly suitable, because their refractive index can be set.

090805385-081501

The compensation space 15 can have two plane-parallel boundary surfaces (end faces of the rods 13a and 13b). However, it is also possible to undertake specific shaping such that even the higher aberrations, occurring in the laser rod 5, of the thermal lens can be adaptively compensated.

Given a sufficiently high thermal dispersion of the compensation medium 16, the thickness d of the compensation space 15 can be selected to be so small that no convection occurs. Specifically, convection would lead to striations. The convection can be avoided by selecting the viscosity suitably, and this is possible with the abovementioned materials by using suitable additives.

Data on the arrangement of the individual components are illustrated in figure 1. Here, the laser rod 5 is an Nd:YAG with a refractive index of $n_L = 1.82$, a length of $L_L = 50$ mm and a diameter of 4 mm. One end face of the laser rod 5 has an axial distance of $Z = L_L/2n_L$ from one resonator mirror 3a. Z is the distance between a resonator mirror 3a or 3b and the principal plane of the thermal lens. The magnitude of Z influences the mode magnitude and the stability range of the laser resonator 1. $Z = 80$ mm. The focal length F of each lens 7a and 7b is 100 mm. The optical unit 9 has an axial length L_K of 20 mm. The rods 13a and 13b are made from glass with a refractive index of $n_K = 1.5$ and a diameter of 4 mm. The thickness d of the compensation space 15 is smaller than 1 mm. OCF-446 has been used here as compensation medium 15.

If the thickness d is selected to be substantially larger, the viscosity of the compensation medium must also be increased, or a solid body must be used in order for there to be no convection accompanied by striations.

09886385-081501

A long path **Z** produces a larger mode diameter of the generated laser radiation, and thus a better beam quality, and this can be achieved by a lens placed additionally in the resonator. The distances **Z** between the resonator mirror **3a** or **3b** and the principal plane of the thermal lens need not be selected to be equal on the left and right in the resonator **1**. Then, the two resonator mirrors **3a** and **3b** need not be of planar design; they can also be arcuate. All that is involved here is one of many design variants, all of the optical components being variable.

The rods **13a** and **13b** can, of course, also have a cross section which is square, cuboid or of regular or irregular polygonal design. Again, the cross sectional contour can vary over the rod length. The rod length can be greater than the transverse rod dimension, but also substantially smaller than this (for example thin disks).

Instead of a solid rod **5** as active medium, it is also possible to use another amplifier medium (discharge tube [gas laser], liquid [dye laser], ...). The compensation of optical thermal effects is also not limited to laser resonators; it can also be used in the case of mechanisms based on nonlinear optical processes (frequency doubling, parametric amplification and oscillation, ...).

A further design variant of a laser resonator **29** is illustrated in **figure 2**, a laser rod having been subdivided here into a plurality of small partial rods **30a** to **30d**, between which compensation is then undertaken. By analogy with **figure 1**, a compensating optical unit **34a** to **34c** now comprises in each case the two neighboring optically transparent solid bodies, that is to say the partial rods **30a** and **30b**, **30b** and **30c**, and **30c** and **30d**. The compensation medium **39** is then arranged between the end faces of the neighboring

09030305-081501

5

10

15

25

30

35

the individual partial rods **30a** to **30c** by suitable optical elements. The amplification of the laser radiation can be optimized in this way (anisotropy of the crystals). In addition, it would also be possible
5 as an alternative to design the end faces of the partial rods in an arcuate fashion. Quartz rotators must be used if no birefringence occurs.

It will be preferred here to design the thickness of
10 the compensation spaces **41a** to **41c** to be under 1 mm (for example 0.5 mm to 1 mm). The length of the partial rods can be a few millimeters to a few centimeters in the case of a typical rod diameter of 4 mm. **Z** will typically be selected between 20 mm and 100 mm.

15 What has been set forth above with reference to the heat transfer and the geometry for the rods **13a** and **13b** is also valid mutatis mutandis for the partial rods **30a** to **30d**.

20 Transverse pumping of the laser rod **5** or **30a** to **30d** is illustrated in **figures 1** and **2**. Of course, it is also possible to use longitudinal pumping or other exciting mechanisms (gas discharge, RF excitation, gas dynamic,
25 electronic, electric excitation, electron gun, ..) of the laser medium.

A variant of the optical arrangements shown in **figures 1** and **2** is illustrated in **figures 3** and **4**. The
30 variants illustrated here are simpler in their mechanical design. They can be used both for flowable and for non-flowable compensation materials. An exemplary use of the non-flowable compensation materials is described below.

35 A laser resonator **47** similar to that in **figure 2** is shown in **figure 3**. However, the laser resonator **47** exhibits substantial differences by comparison with the laser resonator **29**. Here, a solid body, preferably an

09886385-081501

elastomeric material, specifically the two-component gel OCK-433 mentioned above is used, in the cured state, as a unit 49 compensating thermal effects.

5 A double laser rod 50a/50b is arranged as active medium in the laser resonator 47, the two rods 50a and 50b being separated from one another by the compensating unit 49. The compensating unit 49 has a compensating medium 51, here the cured OCK-433, which is surrounded
10 by a transparent sleeve 53 in which one end region 54a or 54b of the two end regions of the two rods 50a and 50b is plugged in each case. The cured OCK-433 serves firstly as compensation medium, and secondly also as a (cured) adhesive which lends the overall arrangement a
15 stable coherence. The sleeve 53 is fabricated from sapphire, for example. Sapphire is transparent and has a very good thermal conductivity. Since the laser rods 50a and 50b generally have a circular cross section, the sleeve 53 is also designed with a circular cross
20 section. The end regions 55a and 55b, not plugged in the sleeve 53, of the laser rods 50a and 50b are held in a holder 57 in a similar way as the arrangement in figure 2. The two laser rods 50a and 50b as well as the sleeve 53 are situated inside a transparent tube 60,
25 which is filled with a cooling liquid 59 and which is likewise held in the holder. The two laser rods 50a and 50b as well as the sleeve 53 are cooled at their envelope by the cooling liquid 59. As is indicated by the two arrows P, the two rods 50a and 50b are pumped
30 transversely in optical terms. The two resonator mirrors 62a and 62b are arranged at right angles to the optical resonator axis 61 in a fashion distanced from the outer end faces 63a and 63b, respectively, of the rods.

35

A very good thermal contact between the rod end faces and the compensating medium 51 is important. If, for example, the two-component gel OCK-433 is used, this can be introduced while still liquid between the rod

09886385.081501

end faces. The gel is subsequently cured, forming a good thermal contact.

If use is made of elastomeric compensation media, they can be pressed over the adjacent laser rods 50a and 50b by means of the holder.

If, instead of an elastomeric material, use were made of a material with a higher modulus of elasticity, it would be necessary for the end faces and the assigned surfaces of the medium to be produced in a fashion adapted to one another in such a way that no "air transition" remains; optical contact would have to be made between the two neighboring surfaces. As regards heating (absorption), radial thermal conduction (for producing a power-dependant temperature distribution) and thermal dispersion (for producing a thermal lens), the same applies for this arrangement as for the arrangement previously described.

Figure 4 shows a further design variant, which is constructed in a way similar to that illustrated in figure 3. However, here the active medium is split into four rods 67a to 67d aligned with one another. The optical axis of the laser rods 67a to 67d coincides with the optical axis 69 of the laser resonator 70. The two middle rods 67b and 67c are designed with the same length; likewise the two outer ones 67a and 67d. Each of the outer rods 67a and 67d is only half as long as each of the two middle rods 67b and 67c. Also present are three compensating units 71a, 71b and 71d for compensating thermal optical effects which comprise a compensating medium 72 and a sleeve 73. The arrangement relating to the laser rod end faces is undertaken in a way similar to the design in figure 3. Here, as well, the laser rods 67a to 67d and the sleeves 73 of the units 71a to 71c are cooled in a way similar to figure 3 by a liquid 76 flowing in a transparent tube 75. The holder 77 for the tube 75 and the laser rod

09886385-081501

arrangement - 67a to 67d with 71a to 71c - corresponds to the holder 57 shown in **Figure 3**.

5 If high laser output powers are to be generated, long laser rods are also generally selected. If split up into a plurality of laser rods by comparison with the arrangement in **figure 3** this produces a better thermal optical compensation. What held for the laser rods 30a to 30c holds for the laser rods 50a and 50b and 67a to 10 67b.

As a rule, as a consequence of thermal optical conditions, a laser rod generates a thermally induced birefringence in the case of which a lower refractive 15 index is produced for the radially polarized radiation component than for the tangentially polarized one. The compensating solid medium is now selected in the above exemplary embodiments in such way that the reverse effect is produced in the event of heating.

20 As already mentioned at the beginning, instead of the solid two-component gel OCK-433 it is also possible to use a liquid as compensation medium in the optical arrangement illustrated in **figures 3** and **4**. A small gas 25 bubble which does not disturb the beam path will then preferably be provided for the purpose of volumetric equalization. However, it is also possible to dispense with the volumetric equalization.

30 If solids, that is to say non-flowable materials are used as compensation media, it is possible to dispense with the sleeve 53 or 73 if the compensation medium behaves inertly relative to the cooling liquid.

0906385-081501